



# Robust Stochastic Graph Generator for Counterfactual Explanations

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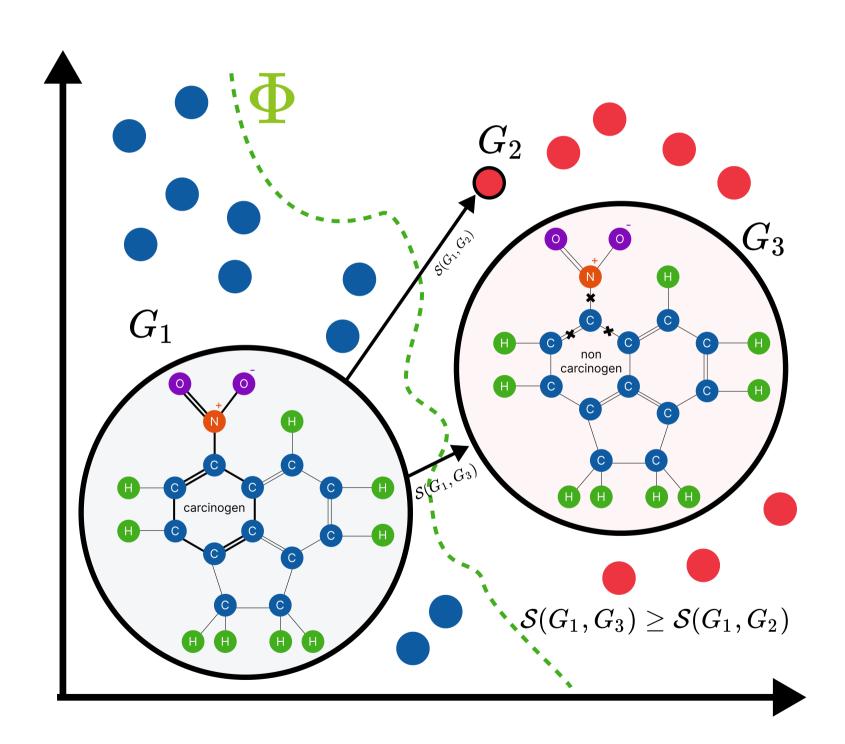








### Graph Counterfactual Explainability (GCE)



$$\mathcal{E}_{\Phi}\left(G
ight) = rg \max_{G' \in \mathcal{G}', G 
eq G', \Phi(G) 
eq \Phi(G')} \mathcal{S}\left(G, G'
ight) \ \mathcal{E}_{\Phi}(G) = rg \max_{G' \in \mathcal{G}'} P\left(G' \mid G, \Phi\left(G
ight), 
eg \Phi\left(G
ight)
ight)$$

### **Problems with GCE**

- SoA is generally constrained to the input data (search-based GCE) and relies on learned perturbation masks (learning-based GCE)
- Defaulting to factual-based explainers falters when dual classes clash (e.g., acyclic vs cyclic graphs)

 Crossing the decision boundary isn't enough; one must be close to the original instance

### What's been done until now...

- Learning-based GCE [1-5]:
  - 1) generate masks of relevant features given a graph G;
  - 2) combine this mask with G to derive G';
  - 3) feed G' to the oracle  $\Phi$  and update the mask
- CLEAR [5] uses a VAE to encode graphs into a latent representation which, at inference, is used to generate complete stochastic graphs
- G-CounteRGAN [6,7] relies on 2D convolutions on the adjacency matrix of graphs

### Intuition

- Using a generative approach possibly a GAN allows having brand new in-distribution counterfactuals examples;
- We'll exploit the generator to engender counterfactual candidates
- Use the discriminator to guide the generator in learning how to cross the decision boundary

### Classic GANs vs GANs for counterfactuals

$$\mathcal{L}(\mathbb{D},\mathbb{G}) = \underbrace{\mathbb{E}_{(X_i,A_i)\in\mathcal{G}}\!\!\left[\log\mathbb{D}(Y\mid X_i,A_i)
ight]}_{ ext{discriminator optimisation}} + \underbrace{\mathbb{E}_{X_j\in P_z,A_j\in P_{z'}, top \hat{X}_j,A_j+\hat{A}_j=\mathbb{G}(X_j,A_j)}\!\!\left[\log(1-\mathbb{D}(Y\mid \hat{X}_j,A_j+\hat{A}_j))
ight]}_{ ext{generator optimisation}}$$

$$\mathcal{L}_{\Phi,c}(\mathbb{D},\mathbb{G}) = \sum_{(X_r,A_r)\in\mathcal{G}_{
eg_c}} \left( \log \mathbb{D}(Y \,|\, X_r,A_r) 
ight)$$

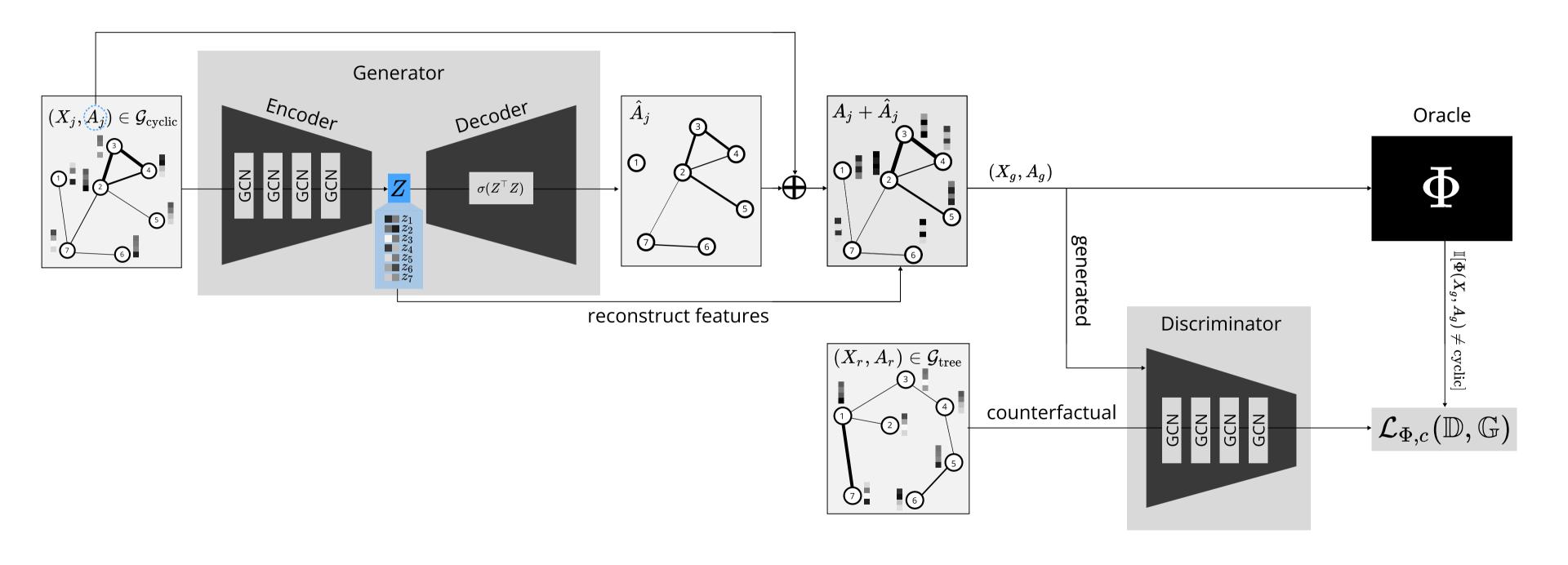
discriminator optimisation on real data

$$+\sum_{(X_g,A_g)\in \mathbb{G}(\mathcal{G}_c)} \underbrace{\left(\mathbb{I}[\Phi(X_g,A_g)
eq c]\log \mathbb{D}(Y\mid X_g,A_g)
ight)}$$

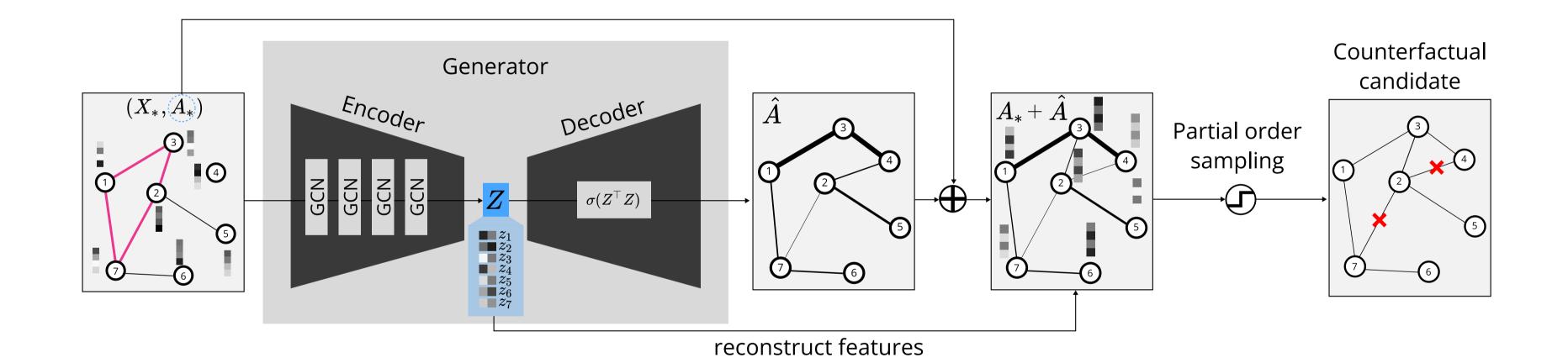
discriminator optimisation on generated data

$$+\sum_{\substack{(X_j,A_j)\in\mathcal{G}_c,\ \hat{X}_j,A_j+\hat{A}_j=\mathbb{G}(X_j,A_j)}}\log\left(1-\mathbb{D}(Y\mid\hat{X},A_j+\hat{A}_j)
ight)} \log\left(1-\mathbb{D}(Y\mid\hat{X},A_j+\hat{A}_j)
ight)$$

### A closer look at RSGG-CE



### RSGG-CE (inference)



### **RSGG-CE** (inference)

Algorithm 1: Partial order sampling to produce a counterfactual.

```
Require: G_* = (X_*, A_*), \mathbb{G} : \mathcal{G} \to \mathcal{G}, \Phi,
 1: \hat{X}_*, A_* + \hat{A}_* = \mathbb{G}(X_*, A_*)
 2: X_q, A_q \leftarrow \hat{X}_*, A_* + \hat{A}_*
 3: \mathcal{P} \leftarrow \text{partial\_order}(A_*)
 4: A' \leftarrow 0^{n \times n}
 5: for \mathbb{O} \in \mathcal{P} do
           for e = (u, v) \in \mathbb{O}.\mathcal{E} do
                 A'[u,v] \leftarrow \text{sample}(e,A_q[u,v])
                 if \mathbb{O}.o \wedge \Phi(X_q, A') \neq \Phi(X_*, A_*) then
                       return (X_q, A')
                 end if
10:
           end for
11:
12: end for
13: return (X_*, A_*)
```

#### Algorithm 2: Example of partial\_order

#### **Require:** $A \in \mathbb{R}^{n \times n}$

- 1:  $E \leftarrow \text{positive\_edges}(A) \qquad \triangleright \textit{Get the set of edges}$  from the adjacency matrix A
- 2:  $\neg E \leftarrow \text{negative\_edges}(A)$   $\triangleright Get the set of non-existing edges from the adjacency matrix <math>A$
- 3:  $\mathcal{P} \leftarrow \{(\mathcal{E} = E, o = 0), (\mathcal{E} = \neg E, o = 1)\}$   $\triangleright$  Build the partial order of the existing and non-existing edges with group tuples consisting of edge set  $\mathcal{E}$ , and oracle verification guard o.
- 4: return  $\mathcal{P}$

# Pretty good actually when you have dual classes.

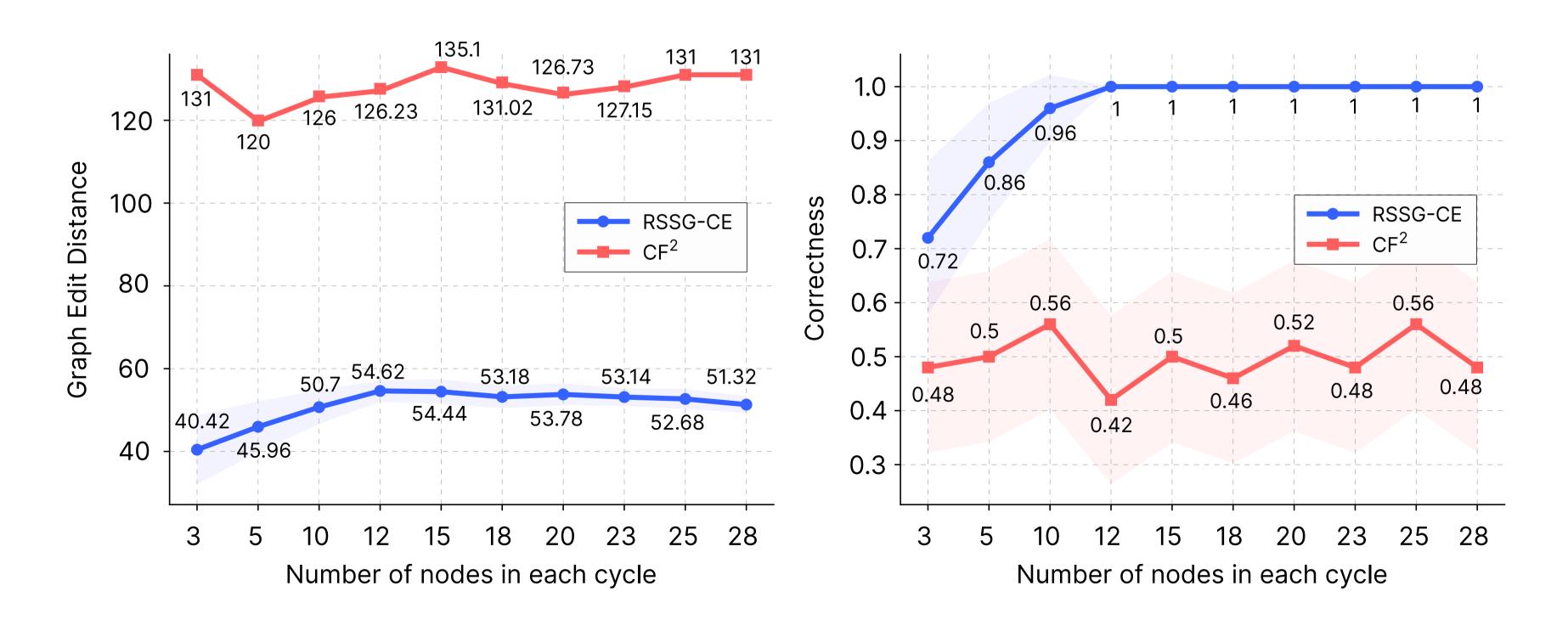


# What we learned through RSGG-CE

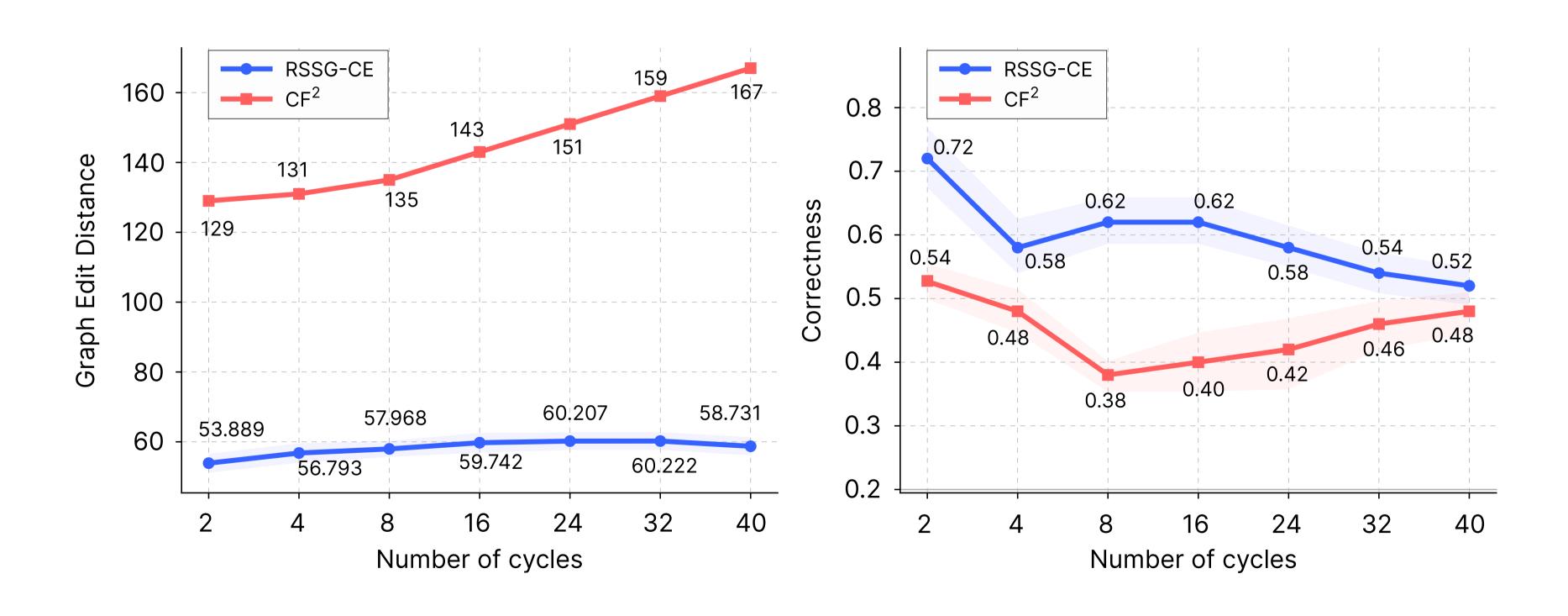
### RSGG-CE has a gain of 66.98% and 19.65% in correctness.

		Methods				
		MEG †	$\mathbb{C}F^2$ †	CLEAR ‡	G-CounteRGAN ‡	RSGG-CE ‡
TC	Runtime (s) ↓	272.110	4.811	25.151	632.542	0.083
	GED↓	159.700	27.564	61.686	182.414	11.000
	Oracle Calls ↓	0.000	0.000	4341.600	1321.000	121.660
	Correctness ↑	0.530	0.496	0.504	0.504	0.885
	Sparsity ↓	2.510	0.496	1.110	3.283	0.199
	Fidelity ↑	0.530	0.496	0.504	0.504	0.885
	Oracle Acc. ↑	1.000	1.000	1.000	1.000	1.000
ASD	Runtime (s) ↓	×	15.313	275.884	969.255	80.000
	GED ↓	×	655.661	1479.114	3183.729	<b>234.853</b>
	Oracle Calls ↓	×	0.000	5339.455	1182.818	794.805
	Correctness ↑	×	0.463	0.554	0.529	0.603
	Sparsity ↓	×	0.850	1.917	4.125	0.304
	Fidelity ↑	X	0.287	0.319	0.265	0.287
	Oracle Acc. ↑	×	0.773	0.773	0.773	0.773

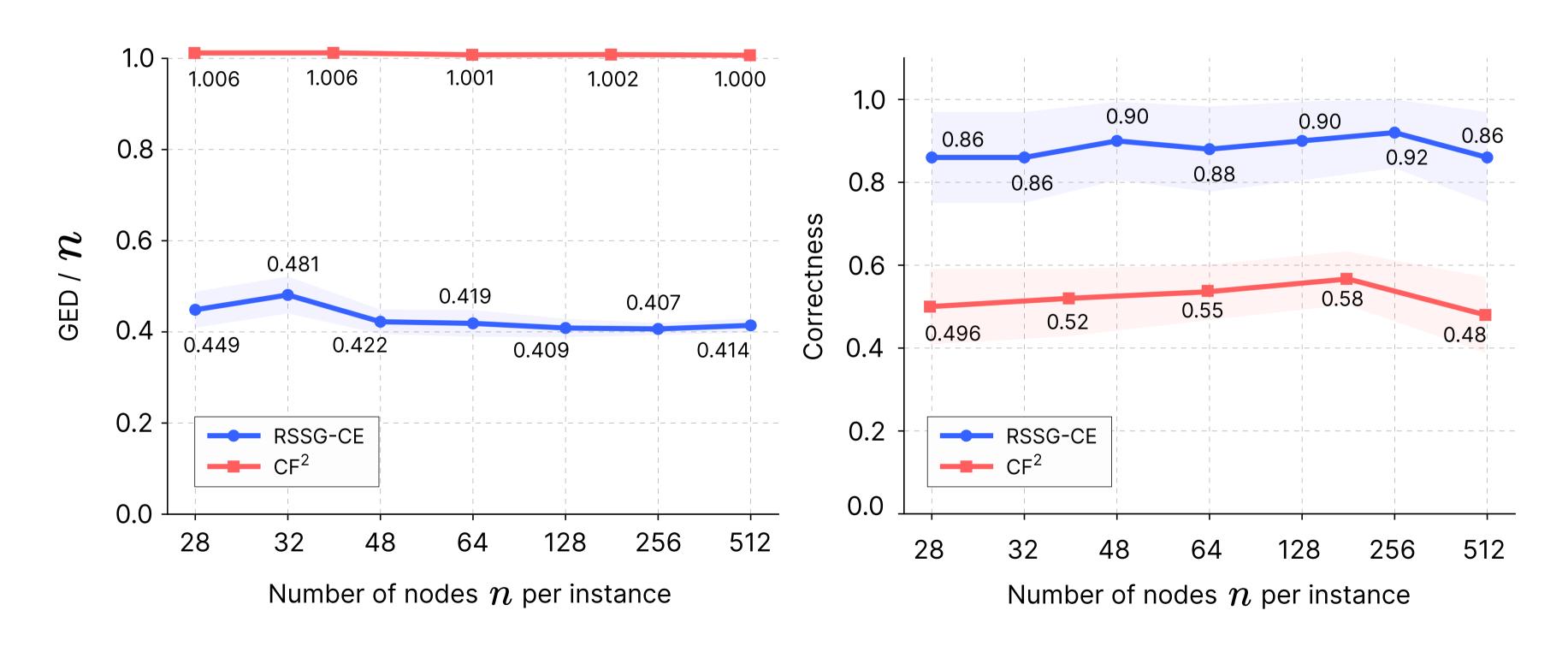
# We scale perfectly when the number of nodes in a cycle increases (GED plateaus, and correctness is 1).



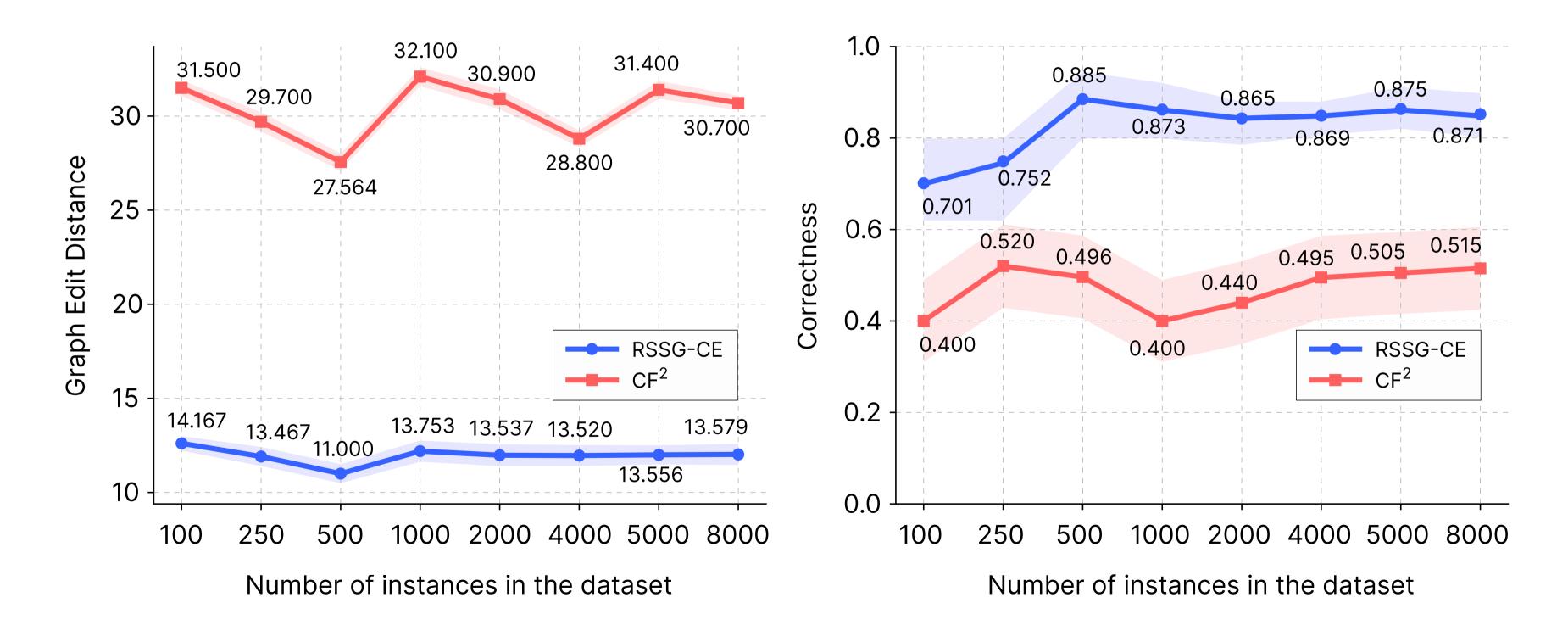
# Even when the number of cycles increases, we don't need as many edge-cutting operations.



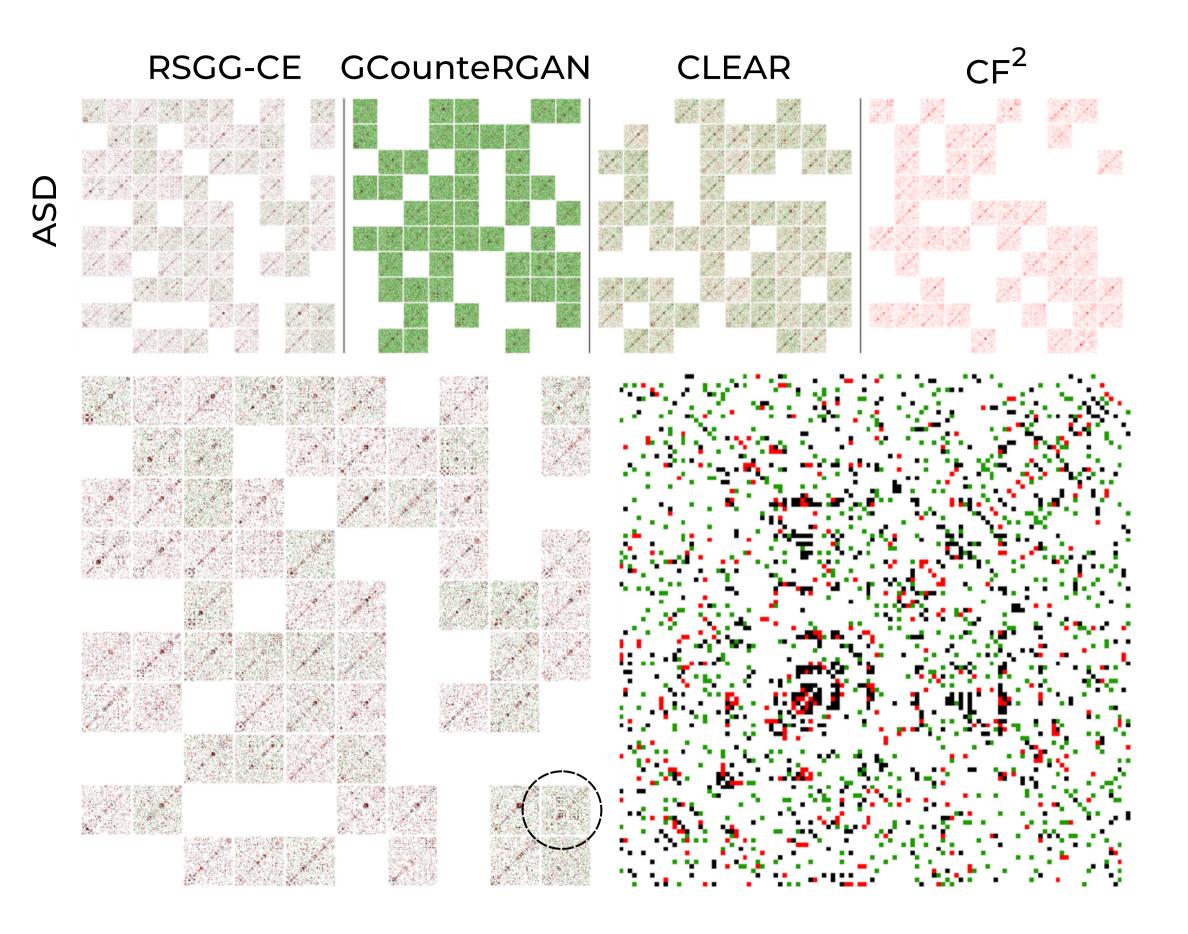
# We don't care about larger graphs. Results depend only on dataset complexity.



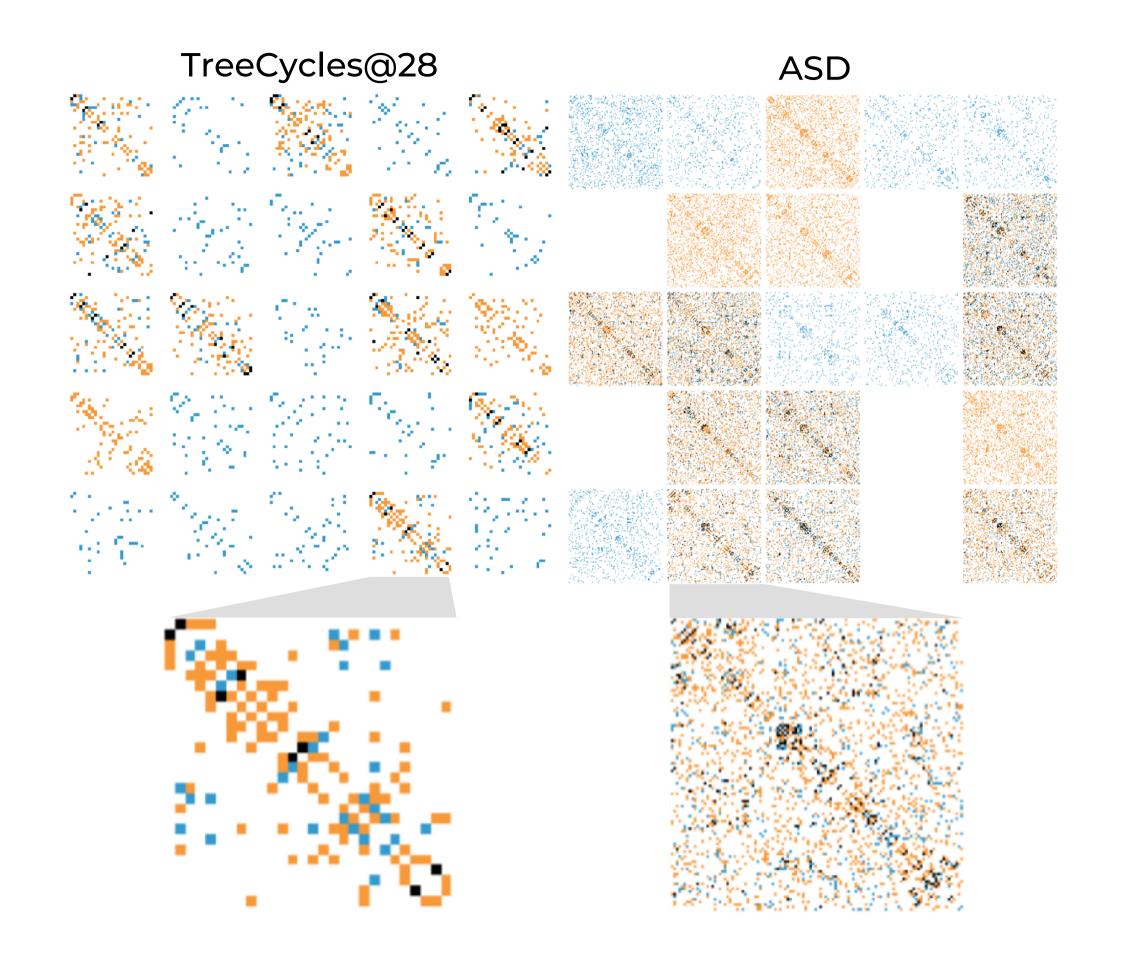
# Performance stabilizes when the number of instances is greater than 250.



# We can do both edge additions and removals



# We perform a lot less perturbation on the graphs vs CLEAR



### References

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### Food for Thought

Finding counterfactuals is mathematically equivalent to adversarially attacking a predictor, but they have different social connotations





